| Sul  | ostitute for form 1449A/PTO | Maritan Maritan | the suit of the section of | Complete if Known |
|------|-----------------------------|-----------------|----------------------------|-------------------|
|      |                             |                 | Application Number         | Not yet assigned  |
| l IV | FORMATION DIS               | CLOSURE         | Filing Date                | Herewith          |
| S    | TATEMENT BY A               | PPLICANT        | First Named Inventor       | Muller, Lilac     |
|      |                             |                 | Art Unit                   |                   |
|      | (use as many sheets as      | necessary)      | Examiner Name              | Not yet assigned  |
| She  | et 1 of                     |                 | Altorney Docket Number     | 019930-003210US-  |

| U.S. PATENT DOCUMENTS+ |              |   |                  |  |   |  |  |
|------------------------|--------------|---|------------------|--|---|--|--|
| Examiner<br>Initials*  | Cite<br>No.1 | Document Number  Number Kind Code <sup>2</sup> (if known) | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
| 1.L                    | , AA         | 5,414,540   | 05/09/95         | Patel et al.                                       | - Agures Appear   |  |  |
|                        | AB           | 5,917,625   | 06/29/99         | Ogusu et al.                                       |   |  |  |
|                        | AC           | 5,960,133   | 09/28/99         | Tomlinson  |   |  |  |
|                        | AD           | 5,999,672   | 12/07/99         | Hunter et al.                                      |   |  |  |
|                        | AE           | 6,028,689   | 02/22/00         | Michalicek et al.                                  |   |  |  |
|                        | AF           | 6,040,935   | . 03/21/00       | Michalicek   |   |  |  |
|                        | AG           | 6,097,519   | 08/01/00         | Ford   |   |  |  |
|                        | AH           | 6,097,859   | 08/01/00         | Solgaard'  |   |  |  |
|                        | Al           | 6,108,471   | 08/22/00         | Zhang et al.                                       |   |  |  |
|                        | AJ           | 6,128,122   | 10/03/00         | Drake et al.                                       |   |  |  |
| <b>//</b>              | AK           | 6,307,657   | 10/23/01         | Ford   |   |  |  |
| 1.b.                   | , AL         | 09/442,061  |                  | Weverka, et al.                                    |   |  |  |

|                    |                          |                           |                 | FOREIGN PA                        | TENT DOCUME                    | NTS   |  |    |
|--------------------|--------------------------|---------------------------|-----------------|-----------------------------------|--------------------------------|---|--|----|
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Country Code <sup>3</sup> | eign Patent Doo |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant |    |
|                    | AM                       |                           | TVOITIDE        | Kind Code <sup>s</sup> (if known) |                                | Document  | Figures Appear   | 1  |
|                    | AN                       |                           |                 |                                   |                                |   |  | ᅡ片 |

| Examiner<br>Signature | James | Phan | Date<br>Considered | 5/1/04 |  |
|-----------------------|-------|------|--------------------|--------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. 

Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO Complete if Known Application Number Not yet assigned **INFORMATION DISCLOSURE** Filing Date Herewith STATEMENT BY APPLICANT First Named Inventor Muller, Lilac Art Unit (use as many sheets as necessary) Examiner Name Not yet assigned Sheet Attorney Docket Number 019930-003210US

| 100                 |              | NON PATENT LITERATURE DOCUMENTS   |   |  |  |  |
|---------------------|--------------|---|---|--|--|--|
| Examiner Initials * | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the iten (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |   |  |  |  |
| J.P.                | AO           | T. Akiyama, et al.; "Controlled Stepwise Motion in Polysilicon Microstructures," Journal of Microelectromechanical Systems, Vol. 2, No. 3, September 1993; pp.106-110   |   |  |  |  |
|                     | AP           | C.M.A. Ashruf, et al., "Galvanic porous silicon formation without external contacts," Sensors and Actuators 74 (1999) pp. 118-122   |   |  |  |  |
|                     | AQ           | Kenneth Bean, et al., "Anisotropic Etching of Silicon," IEEE Transactions on Electron Devices, Vol. Ed-25, No. 10, October 1978   |   |  |  |  |
|                     | AR           | Dino R. Ciarlo, "A latching accelerometer fabricated by the anisotropic etching of (110) oriented silicon wafers," Lawrence Livermore Nat'l Laboratory, March 1, 1992   |   |  |  |  |
|                     | AS           | A.S. Dewa, et al., "Development of a Silicon Two-Axis Micromirror for an Optical Cross-Connect," Solid State Sensors and Actuators Workshop, Hilton Head, South Carolina, pp. 93-96 (NO DATE)   |   |  |  |  |
|                     | AT           | Joseph Ford et al., "Wavelength Add Drop Switching Using Tilting Micromirrors," Journal of Lightwave Technology, Vol. 17, No. 5, May 1999   | - |  |  |  |
|                     | AU           | J. Grade et al., "A Large-Deflection Electrostatic Actuator for Optical Switching Applications, Solid-State Sensor and Actuator Workshop, Hilton Head Island, South Carolina, June 4-8, 2000; pp. 97-100  |   |  |  |  |
|                     | AV           | V. Kaajakari et al.; "Ultrasonic Actuation for MEMS Dormancy-Related Stiction Reduction," In MEMS Reliability for Critical Applications, Proceedings of SAPIE Vol. 4180 (2000); pp. 60-65 (NO MONTH)  |   |  |  |  |
|                     | AW           | T.L. Koch et al., "Anisotropically etched deep gratings for InP/InGaAsP optical devices," J.App. Phys. 62 (8), 15 October 1987  |   |  |  |  |
|                     | AX           | I. Nishi et al., "Broad-Passband-Width Optical Filter for Multi-Demultiplexer Using a Diffraction Grating and a Retroreflector Prism," Electronics Letters, Vol. 21, No. 10, 9th May 1985   |   |  |  |  |
|                     | AY           | P. Phillippe et al., "Wavelength demultiplexer: using echelette gratings on silicon substrate," Applied Optics, Vol. 24, No. 7, 1 April 1985  |   |  |  |  |
|                     | AZ           | Rallison, R.D., "Dense Wavelength Division Multiplexing (DWDM) and the Dickson Grating," White Paper, January 6, 2001   |   |  |  |  |
| /                   | 'BA          | M. Schilling et al., "Deformation-free overgrowth of reactive ion beam etched submicron structures in InP by liquid phase epitaxy," Appl. Phys. Lett. 49 (12), 22 September 1986  |   |  |  |  |
| J.b.                | 88           | Z. J. Sun et al., Demultiplexer with 120 channels and 0.29-nm Channel Spacing," IEEE Photonics Technology Letters, Vol. 10, No. 1, January 1998   |   |  |  |  |

| Examiner Signature | James | Phan | Date<br>Considered | 5/1/04 |
|--------------------|-------|------|--------------------|--------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

| Substitute | for form 1449 | BIPTO                | C mplete if Known      |                  |  |  |  |
|------------|---------------|----------------------|------------------------|------------------|--|--|--|
| INEO       | DRANTIC       | ON DISCLOSURE        | Application Number     | Not yet assigned |  |  |  |
|            |               |                      | Filing Date            | Herewith         |  |  |  |
| SIAI       | FMFNI         | BY APPLICANT         | First Named Inventor   | Muller, Lilac    |  |  |  |
|            |               |                      | Art Unit               |                  |  |  |  |
| - (        | use as many   | sheets as necessary) | Examiner Name          | Not yet assigned |  |  |  |
| Sheet      | 3             | of                   | Attorney Docket Number | 019930-003210ÜS  |  |  |  |

|                     |                          | NON PATENT LITERATURE DOCUMENTS   | *  |
|---------------------|--------------------------|---|----|
| Examiner Initials * | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| 1.6-                | ВС                       | W. Tang, et al., "Electrostatically Balanced Comb Drive for Controlled Levitation," Reprinted from Technical Digest IEEE Solid-State Sensor and Actuator Workshop, June 1990; pp. 198-202   |    |
| 1.12                | BD                       | L. Torcheux et al., "Electrochemical Coupling Effects on the Corrosion of Silicon Samples in HF Solutions," J. Electrochem.Soc., Vol. 142, No. 6, June 1995   |    |
| -9.1                | BE                       | P. VanKessel et al., "A MEMS-Based Projection Display," Proceedings of the IEEE, Vol. 86, No. 8, August 1998; pp. 1687-1704   |    |
| 7.6-                | BF                       | Microfabricated Silicon High Aspect Ratio Flexures for In-Plane Motion; dissertation by C. Keller, Fall 1998 (NOMTH)  |    |
| 1-6-                | BG                       | Gimballed Electrostatic Microactuators with Embedded Interconnects; dissertation by L. Muller, Spring 2000 (NOMONTH)  |    |

| Examiner<br>Signature | James | Phan | Date<br>Considered | 5/1/04 | 7 |
|-----------------------|-------|------|--------------------|--------|---|
| 3                     |       |      | Considered         |        |   |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.